



Sector #

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited
with the United States Postal Service as First Class Mail to:
BOX MISSING PARTS, Assistant Commissioner for Patents,
Washington, DC 20231 on 27 April 2001.

Julie M. Balot

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ROBERT E. DVORAK and KEVIN KATARI Application No.: 09/760,377 Filed: 12 JANUARY 2001 Title: MULTIPURPOSE CAUSAL EVENT CALENDAR FOR INTEGRATED MANAGEMENT DECISION SUPPORT	Attorney Docket No.: BLFR 1003-1 Examiner: NOT ASSIGNED YET Group: 2167
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RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application dated 27 February 2001,
enclosed please find:

- [X] Form PTO-1533 (copy of Notice to be returned with response);
- [X] Combined Declaration and Power of Attorney signed by the inventors;
- [X] Joint to Corporate Assignment signed by the inventors with Form PTO-1595;
- [X] Power of Attorney by Assignee to Exclusion of Inventor Under 37 C.F.R. §3.71
with Revocation of Prior Powers signed by Robert E. Dvorak, CEO;
- [X] Fee Transmittal Sheet (basic filing fee, extra claims fee, missing parts surcharge
and assignment recordation fee); and
- [X] Check No. 1204 in the amount of \$1,224.00.

The Commissioner is hereby authorized to charge any additional fees that may be
required and to credit any overpayment, to Deposit Account No. 50-0869 (Docket No. BLFR
1003-1). A duplicate of this paper is enclosed.

Respectfully submitted,

Ernest J. Beffel, Jr.
Registration No. 43,489

Date: 27 April 2001

HAYNES & BEFFEL LLP
P.O. Box 366
Half Moon Bay, CA 94019
(650) 712-0340 (phone)
(650) 712-0263 (fax)



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 1,224.00

Complete if Known

Application Number	09/760,377
Filing Date	12 JANUARY 2001
First Named Inventor	ROBERT E. DVORAK
Examiner Name	NOT ASSIGNED YET
Group Art Unit	2167
Attorney Docket No.	BLFR 1003-1

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0869
Deposit Account Name: Haynes & Beffel LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 355.00

2. EXTRA CLAIM FEES

Total Claims: 96
Independent Claims: 5
Multiple Dependent Claims: 0

Extra Claims: 76
Fee from below: 9.00
Fee Paid: 684.00

20** = 76 x 9.00 = 684.00
- 3** = 2 x 40.00 = 80.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 764.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 105.00

SUBMITTED BY

Name (Print/Type) Ernest J. Beffel, Jr.

Registration No. 43,489
(Attorney/Agent)

Signature

Ernest J. Beffel, Jr.

Complete (if applicable)

Telephone (650) 712-0340

Date 27 April 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/760,377	01/12/2001	Robert Dvorak	BLFR 1003-1

CONFIRMATION NO. 1255

FORMALITIES LETTER



OC000000005805633

HAYNES & BEFFEL LLP
P.O. Box 366
Half Moon Bay, CA 94019

Date Mailed: 02/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$755.
 - \$675 for 75 total claims over 20.
 - \$80 for 2 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1175.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2001 EEKUBAY1 00000023 09760377

01 FC:201	355.00 OP
02 FC:203	604.00 OP
03 FC:202	80.00 OP
04 FC:205	65.00 OP